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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/828,552	04/21/2004	Daisaku Okuwaki	OKUWAK13

CONFIRMATION NO. 5448

BROWDY AND NEIMARK, P.L.L.C.  
 624 Ninth Street, N.W.  
 Washington, DC 20001

## FORMALITIES LETTER



\*OC00000013109588\*

Date Mailed: 06/30/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/10/2004 EABUBAK1 00000123 10828552

01 FC:1001 770.00 OP  
 02 FC:1051 130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

MSD: 30AUG2004.

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

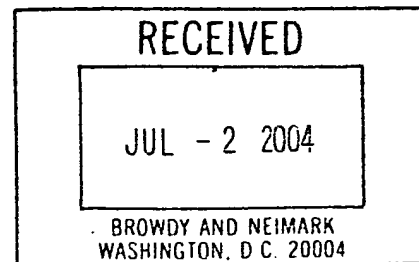
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450



*A copy of this notice MUST be returned with the reply.*

Y.G

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Application Division  
 Daisaku OKUWAKI ) **ATTN: BOX MISSING PARTS**  
 Serial No.: 10/828,552 ) Washington, D.C.  
 Filing Date: March 21, 2004 ) Confirmation No. 5448  
 For: SHEET LIGHT EMITTING APPARATUS ) August 9, 2004

LATE SUBMISSION OF FILING FEE AND/OR DECLARATION  
FILED UNDER 37 CFR 1.53(b)

Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450  
 Sir:

The present communication is in response to the "NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION..." dated June 30, 2004. Attached hereto is/are:

- ☒ An executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title, filing date, and priority information.  
☐ Application Data Sheet.  
☐ Preliminary Amendment. ☐ Fees are reduced due to elimination of claim multi-dependencies  
☐ A certified copy of priority document no. \_\_\_\_\_, filed \_\_\_\_\_ Priority is claimed.  
☒ An Information Disclosure Statement with 08A-1449 and 2 references.  
☒ Total fees in the amount of: \$900.00.  
 The filing fee is calculated below:

	(Col. 1)	(Col. 2)	SMALL ENTITY			OTHER THAN SMALL ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE	
BASIC FEE				\$	OR		\$770.00	
TOTAL CLAIMS	18- 20	0	X 9 =	\$00		X 18 =	\$	
INDEP. CLAIMS	1- 3	0	X 43 =	\$00		X 86 =	\$	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED			+ 145 =	\$		+ 290 =	\$	
If the difference in Col. 1 is less than 0, enter "0" in Col. 2			TOTAL	\$00		TOTAL	\$77000	

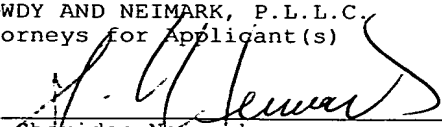
- ☐ Surcharge for late filing of English translation \$ 130.00  
☒ Late filing of Declaration surcharge in the amount of:  
 Small Entity ☐ \$65.00 Other than Small Entity ☒ \$130.00  
☐ Applicant claims small entity status. See 37 CFR 1.27.  
☐ It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a).

The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Small Entity		Other Than Small Entity	
Response Filed Within		Response Filed Within	
<input type="checkbox"/> First	- \$ 55.00	<input type="checkbox"/> First	- \$ 110.00
<input type="checkbox"/> Second	- \$ 210.00	<input type="checkbox"/> Second	- \$ 420.00
<input type="checkbox"/> Third	- \$ 475.00	<input type="checkbox"/> Third	- \$ 950.00
<input type="checkbox"/> Fourth	- \$ 740.00	<input type="checkbox"/> Fourth	- \$1,480.00
<input type="checkbox"/> Fifth	- \$1,005.00	<input type="checkbox"/> Fifth	- \$2,010.00
Month After Time Period Set		Month After Time Period Set	

- ☒ Conditional Petition for Extension of Time:  
 If any extension of time for a response is required, applicant requests that this be considered a petition therefor.  
☒ Credit Card Payment Form, PTO-2038, authorizing payment in the amount of \$900.00 is enclosed to cover the fees.  
☒ The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

BROWDY AND NEIMARK, P.L.L.C.  
 Attorneys for Applicant(s)

By:   
 Sheridan Neimark  
 Registration No. 20,520